

MARINE ENVIRONMENT PROTECTION  
COMMITTEE  
81st session  
Agenda item 15

MEPC 81/15/1  
5 December 2023  
Original: ENGLISH  
Pre-session public release:

## ANY OTHER BUSINESS

### Implementation of the Hong Kong Convention

#### Note by the Secretariat

#### SUMMARY

*Executive summary:* This document invites the Committee to consider the development of reporting formats under Article 12 of the Hong Kong Convention and a new GISIS module on ship recycling for Parties to the Convention to fulfil their obligation of communication of information required by the Convention.

*Strategic direction, if applicable:* 7 and 8

*Output:* 7.1 and 8.1

*Action to be taken:* Paragraph 12

*Related documents:* Resolutions A.1029(26); A.1074(28) and A.1139(31)

#### Introduction

1 Since the adoption of the Hong Kong International Convention for the Safe and Environmentally Sound Recycling of Ships, 2009 (Hong Kong Convention), the following guidelines have been developed and adopted to assist States in the implementation and enforcement of the Convention's technical standards:

- .1 the *2011 Guidelines for the Development of the Ship Recycling Plan*, adopted by resolution MEPC.196(62);
- .2 the *2012 Guidelines for Safe and Environmentally Sound Ship Recycling*, adopted by resolution MEPC.210(63);
- .3 the *2012 Guidelines for the Authorization of Ship Recycling Facilities*, adopted by resolution MEPC.211(63);
- .4 the *2012 Guidelines for the survey and certification of ships under the Hong Kong Convention*, adopted by resolution MEPC.222(64);
- .5 the *2012 Guidelines for the inspection of ships under the Hong Kong Convention*, adopted by resolution MEPC.223(64); and

- .6 the *2023 Guidelines for the development of the Inventory of the Hazardous Materials* (resolution MEPC.379(80)), which superseded the *2015 Guidelines for the development of the Inventory of the Hazardous Materials* (resolution MEPC.269(68)).

2 The entry-into-force conditions of the Hong Kong Convention were met on 26 June 2023 and, therefore, the Convention will enter into force on 26 June 2025.

### **Communication of information under the Hong Kong Convention**

3 In accordance with Article 12 of the Hong Kong Convention, each Party is required to report to the Organization, and the Organization is required to disseminate, as appropriate, the following information:

- .1 a list of Ship Recycling Facilities authorized in accordance with this Convention and operating under the jurisdiction of that Party;
- .2 contact details for the Competent Authority(ies), including a single contact point, for that Party;
- .3 a list of the recognized organizations and nominated surveyors which are authorized to act on behalf of that Party in the administration of matters relating to the control of ship recycling in accordance with this Convention, and the specific responsibilities and conditions of the authority delegated to the recognized organizations or nominated surveyors;
- .4 an annual list of ships flying the flag of that Party to which an International Ready for Recycling Certificate has been issued, including the name of the Recycling Company and location of the Ship Recycling Facility as shown on the certificate;
- .5 an annual list of ships recycled within the jurisdiction of that Party;
- .6 information concerning violations of this Convention; and
- .7 actions taken towards ships and Ship Recycling Facilities under the jurisdiction of that Party.

4 In the context of reporting and dissemination of information, the Assembly, by means of resolution A.1074(28) on *Notification and circulation through the Global Integrated Shipping Information System (GISIS)*:

- .1 agreed that notification through GISIS should be considered as one effective way for Contracting Governments or Parties to IMO instruments to fulfil their reporting obligations;
- .2 further agreed that once Contracting Governments or Parties have notified through GISIS in respect of a reporting requirement to the Organization, the requirement for the Organization to circulate any such notification under the IMO instrument concerned would have been met;

- .3 urged Member States to use the reporting facilities available through GISIS to fulfil their reporting obligations under the various IMO instruments and to work towards the improvement of the quality of the data being collected through GISIS by implementing comprehensive validation processes when entering data into the system; and
- .4 requested the Secretary-General to continue developing the system, particularly its modules related to mandatory reporting requirements, in close cooperation with Member States, IMO organs, international organizations and all other stakeholders of the global maritime community, as appropriate.

### **Draft reporting formats and GISIS module**

5 In view of the approaching entry-into-force date of the Hong Kong Convention, it is advisable that the Committee agrees on standard formats for the reporting of the information specified in Article 12 of the Convention, and also agrees to a corresponding new GISIS module being developed, with the aim of facilitating the communication of information required by the Hong Kong Convention.

6 The Secretariat has prepared draft reporting formats, as set out in the annex to this document, for the Committee's consideration.

7 Subject to the Committee's approval, the reporting formats could be issued as an MEPC circular and updated as necessary in the light of experience gained from their use.

8 Guided by resolution A.1074(28), the Secretariat proposes that a new GISIS module on ship recycling be developed to facilitate reporting and dissemination of the information specified in Article 12 of the Hong Kong Convention, using the above-mentioned formats as a basis. It is envisaged that Member States will be able to update the information in the GISIS module whenever new information becomes available. Furthermore, the reporting of a subset of information under Article 12 of the Convention might be fulfilled using existing GISIS modules, subject to certain adaptations being applied to the relevant modules.

9 It is also proposed that a note by the Secretariat be submitted to MEPC annually as an information document, commencing in 2026, containing information on:

- .1 the number of ship recycling facilities authorized (see paragraph 3.1);
- .2 the number of ships to which an International Ready for Recycling Certificate had been issued in the preceding calendar year (see paragraph 3.4); and
- .3 the number of ships recycled within the jurisdiction of each Party in the preceding calendar year and the corresponding gross tonnage of ships recycled (see paragraph 3.5),

using the information reported to the Organization by Parties to the Hong Kong Convention.

### **Submission of information until development of the GISIS module on ship recycling is completed**

10 Until the proposed new GISIS module on ship recycling has been released, the Committee is invited to agree that Parties to the Hong Kong Convention should report to the Organization via email ([med@imo.org](mailto:med@imo.org)):

- .1 the information on ship recycling facilities, as set out in part 1 of the annex, once authorization has been issued; and
- .2 the two annual lists of ships, in the format set out in parts 4 and 5 of the annex, for the preceding calendar year by 31 March of the subsequent year.

11 For the purposes of dissemination until the GISIS module has been released, it is also proposed that the information submitted under paragraph 10 above be published on the IMO website,\* in the form of a downloadable PDF file, promptly after being received by the Secretariat.

### **Action requested of the Committee**

12 The Committee is invited to consider the information and proposals provided in this document and to take the following action:

- .1 consider the draft formats for the mandatory reporting under Article 12 of the Hong Kong Convention, as set out in the annex, with a view to approval (paragraph 6);
- .2 subject to the approval of the above-mentioned formats for reporting, instruct the Secretariat to prepare and issue an MEPC circular on the approved formats (paragraph 7);
- .3 agree to keep the reporting formats under review, with a view to them being updated as necessary in the light of experience gained from their use (paragraph 7);
- .4 instruct the Secretariat to develop a new GISIS module on ship recycling for the Parties to the Hong Kong Convention to fulfil their obligations on the communication of information specified in Article 12 of the Convention (paragraph 8);
- .5 request the Secretariat to submit to MEPC annually, starting in 2026, a document outlining the information reported to the Organization by Parties to the Hong Kong Convention (paragraph 9);
- .6 invite the Parties to the Hong Kong Convention to submit to the Organization, by email ([med@imo.org](mailto:med@imo.org)), the information on ship recycling facilities and the two annual lists of ships required to be reported in accordance with sub-paragraphs 1, 4 and 5 of Article 12 of the Hong Kong Convention, until the GISIS ship recycling module has been released (paragraph 10); and
- .7 authorize the Secretariat to publish the information on ship recycling facilities and the lists of ships, as submitted by Parties to the Hong Kong Convention, as a downloadable PDF file on the IMO website for the purposes of dissemination until the GISIS module has been released (paragraph 11).

\*\*\*

---

\* <https://www.imo.org/en/OurWork/Environment/Pages/Ship-Recycling.aspx>

**ANNEX**

**FORMATS FOR MANDATORY REPORTS UNDER THE HONG KONG CONVENTION**

The following formats for the mandatory report are recommended.

**PART 1**

**List of ship recycling facilities (SRF) authorized**

**Purpose:** to provide a list of Ship Recycling Facilities authorized in accordance with this Convention and operating under the jurisdiction of that Party (Article 12.1)

**Reporting country:** .....

Name, address, and contact details (telephone number, email address) of the facility	Distinctive recycling company ID No.	Ship recycling capability (length/breadth/lightweight)	DASR <sup>1</sup> issued by and valid until	Name, address and contact details (telephone number, email address) of ship recycling company, if different from SRF	Note <sup>2</sup>

<sup>1</sup> Document of Authorization to Conduct Ship Recycling (DASR).

<sup>2</sup> In particular, any situation specified in regulation 16.6.

**PART 2**

**Contact details for the Competent Authority(ies)**

**Purpose:** to provide contact details for the Competent Authority(ies), including a single contact point, for that Party (Article 12.2)

**Reporting country:** .....

<b>Name, full address and contact details (telephone, email) of Competent Authority(ies)/Single contact point<sup>3</sup></b>

---

<sup>3</sup> Indicate with an asterisk which entry is the single contact point for the purposes of the Hong Kong Convention.

**PART 3**

**List of the recognized organizations and nominated surveyors**

**Purpose:** to provide a list of the recognized organizations and nominated surveyors which are authorized to act on behalf of that Party in the administration of matters relating to the control of ship recycling in accordance with this Convention, and the specific responsibilities and conditions of the authority delegated to the recognized organizations or nominated surveyors (Article 12.3)

**Reporting country:** .....

***Section 1 – List of recognized organizations and nominated surveyors (Administration)***

Name and full address	Contact details (telephone number, email address)	Authorized by	Functions delegated by the Administration and, if any, conditions

***Section 2 – List of recognized organizations and nominated surveyors (Competent Authority)***

Name and full address	Contact details (telephone number, email address)	Authorized by	Functions delegated by Competent Authority and, if any, conditions

**PART 4**

**Annual list of ships flying the flag of that Party to which an International Ready for Recycling Certificate has been issued**

**Purpose:** to provide an annual list of ships flying the flag of that Party to which an International Ready for Recycling Certificate has been issued, including the name of the Recycling Company and location of the Ship Recycling Facility as shown on the certificate (Article 12.4)

**Reporting country:** .....

**Reporting period:** from DD/MM/YY to DD/MM/YY

<b>Name of ship</b>	<b>Ship type</b>	<b>Date of construction</b>	<b>GT</b>	<b>IMO No.</b>	<b>Name and full address of shipowner</b>	<b>Name and full address of SRF</b>



**PART 5**

**Annual list of ships recycled within the jurisdiction of that Party**

**Purpose:** to provide an annual list of ships recycled within the jurisdiction of that Party (Article 12.5)

**Reporting country:** .....

**Reporting period:** from DD/MM/YY to DD/MM/YY

Name of ship	Flag State	Ship type	GT	IMO No.	Name of SRF	Completion date of ship recycling

## PART 6

### **Title: Information concerning violations of this Convention and actions taken towards ships and Ship Recycling Facilities under the jurisdiction of that Party**

**Purpose:** to summarize the violation cases referred to flag State or SRF's Competent Authorities for investigation or proceedings, to report to IMO and to Parties reporting violation cases, actions taken by flag State or Competent Authorities upon the case submissions, to summarize the results of investigation into or proceedings of alleged violation cases. (Articles 12.6 and 12.7)

#### ***Section 1 – Enforcement report on alleged violations (ships)***

**Purpose:** to summarize ship's violation cases<sup>4</sup> referred by other States to flag States for investigation or proceedings and to IMO for information; and to summarize actions taken towards the ship concerned

1	2	3	4	5	6	7	8	9
Date of occurrence	Date of receipt of notification by IMO	Reporting State	Name of ship	Flag State	GT	IMO No.	Name and address of shipowner	Date of construction

---

<sup>4</sup> Also include the cases where Material Declaration and Supplier's Declaration of Conformity are detected by market surveillance to be inaccurate (resolution MEPC.222(64), paragraph 5.2).

10	11	12
Summary of alleged violations	Action taken by the flag State including investigation or proceedings	Comments by the reporting State, if any

**Section 2 – Enforcement report on alleged violations (ship recycling facility) (SRF)**

**Purpose:** to summarize SRF's violation cases referred by a Party to SRF's Competent Authority for investigation or proceedings and to IMO for information; and summarize actions taken by Competent Authority towards the SRF concerned

1	2	3	4	5	6	7
Date of occurrence	Date of receipt of notification by IMO	Reporting State	Name of SRF	Full address of SRF	Name of ship recycling company, if different from SRF	SRF'S Competent Authority

8	9	10
Summary of alleged violations	Investigation or proceedings/action taken by Competent Authority	Comments by the reporting State, if any

---